

## Climate response to tropical cyclone-induced ocean mixing in an Earth system model of intermediate complexity

Ryan L. Sriver,<sup>1,2</sup> Marlos Goes,<sup>3</sup> Michael E. Mann,<sup>1,2</sup> and Klaus Keller<sup>2,3</sup>

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[1] We introduce a parameterization of ocean mixing by tropical cyclones (TCs) into an Earth system model of intermediate complexity. The parameterization is based on previously published global budgets of TC-induced mixing derived from high-resolution satellite measurements of anomalous sea surface temperatures along storm tracks. Recognizing the caveats introduced, for example, by the simplified model structure, we find that the representation of realistic TC-induced mixing substantially alters the equilibrium conditions of (1) the thermal structure of the upper ocean, (2) the surface energy budget, and (3) the circulation in the equatorial to subtropical Pacific Ocean. These changes result in warmer upwelling regions in the eastern equatorial Pacific and an overall increase in ocean heat content consistent with the recent TC heat pump hypothesis. Spatial variability in the mixing appears to be a key factor in the modeled response. We find no substantial influence of the considered TC-induced mixing on poleward ocean heat transport in the analyzed model. Our results suggest that climate-sensitive mixing feedbacks are plausible; however, the large-scale effect is mainly confined to the subtropical Indo-Pacific region for present-day TC climatology.

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### 1. Introduction

[2] Understanding the role of tropical cyclones (TCs) within the climate system is an active area of research. There is strong evidence linking low frequency variability in TC activity to tropical sea surface temperatures during the past 60 years [Emanuel, 2005]. However, the projected response in activity to future warming is less clear [Emanuel *et al.*, 2008; Knutson *et al.*, 2008; Bender *et al.*, 2010]. Furthermore, feedbacks may exist which enable TCs to actively contribute to the dynamics of the climate system, rather than passively responding to changes in the large-scale mean state.

[3] Emanuel [2001] proposed that vertical ocean mixing induced by TC winds may be responsible for the majority of the present-day poleward ocean heat transport. In general, TC winds generate near-inertial internal waves which eventually break [Black and Dickey, 2008], mixing warm surface water down into the thermocline where it is available to be advected away from the storm regions by the larger-scale ocean circulation. Emanuel [2001] hypothesized that this oceanic heat

convergence is eventually carried poleward by the meridional overturning circulation, estimating the majority of present-day poleward ocean heat transport can be attributed to TC-induced ocean mixing. Several observation-based studies support this hypothesis [Sriver and Huber, 2007a; Sriver *et al.*, 2008]. However, these studies find estimates of TC-induced oceanic heat convergence, and the heat available to be transported poleward, is more conservative than the original Emanuel [2001] estimate (~30% of peak heat transport values at storm latitudes). Nonetheless, if TCs are capable of influencing tropical temperature patterns through feedbacks associated with ocean mixing and transport, then these events may be an important factor for understanding the nature of climate variability.

[4] The amount of wind energy available to mix the ocean depends on the power dissipated at the surface by friction [Emanuel, 2005]. The power dissipation is an integrated measure of TC intensity. It represents the convolution of several cyclone characteristics including wind speed, size, duration, and frequency. While much debate currently focuses on understanding and predicting changes in single metrics such as intensity and frequency, integrated quantities such as power dissipation appear to be more important for describing potential impacts of changes in TC activity on climate. Thus, inferring TC-induced impacts on ocean mixing based on any single metric is incomplete, though there is evidence that certain TC characteristics covary (e.g., intensity and duration/frequency) [Sriver and Huber, 2007b].

[5] Several recent modeling studies have sought to determine the importance of TC-induced ocean mixing on

<sup>1</sup>Department of Meteorology, Pennsylvania State University, University Park, Pennsylvania, USA.

<sup>2</sup>Earth and Environmental Systems Institute, Pennsylvania State University, University Park, Pennsylvania, USA.

<sup>3</sup>Department of Geosciences, Pennsylvania State University, University Park, Pennsylvania, USA.

upper ocean properties and transport. Notably, *Korty et al.* [2008] show that including an interactive mixing parameterization, based on TC maximum potential intensity, into an intermediate complexity climate model positively influences poleward heat transport in climate scenarios with increased atmospheric carbon dioxide, suggesting TCs could be an important factor for sustaining warm climates with a small equator to pole temperature gradient. *Jansen and Ferrari* [2009] show that meridional variability in prescribed TC-induced ocean mixing can inhibit poleward ocean heat transport by influencing the subtropical overturning circulation. They find increased heat convergence at the edge of the subtropics associated with enhanced vertical mixing is eventually transported equatorward in the subsurface branch of the subtropical cells, thus limiting the influence of TC-induced mixing on extratropical transport. *Sriver and Huber* [2010] test the sensitivity of an ocean general circulation model to satellite-based global TC surface winds. They find transient, extreme surface wind forcing alters the subtropical overturning, consistent with *Jansen and Ferrari* [2009]. Furthermore, under scenarios with enhanced TC wind forcing, *Sriver and Huber* [2010] find increased oceanic heat convergence in the tropics and warmer temperatures in upwelling regions, resulting in a permanent El Niño-like climate state. These findings support the idea that increased tropical ocean mixing may have contributed to sustaining a permanent El Niño during the Pliocene (5–3 million years ago) [*Brierley et al.*, 2009; *Fedorov et al.*, 2010].

[6] Here we diagnose the impact of TCs on the large-scale state by incorporating a global parameterization of ocean mixing by these events into an Earth System Model of Intermediate Complexity (EMIC). This parameterization is based on TC-induced mixing budgets developed previously from satellite measurements of anomalous sea surface temperature along storm tracks [*Sriver and Huber*, 2007a; *Sriver et al.*, 2008]. The mixing parameterization varies horizontally and vertically. Our aim is simply to test the first-order equilibrium response of large-scale model properties to the inclusion of a simplified (yet arguably realistic) representation of the present-day, observation-based climatology of TC-induced mixing. Furthermore, using an intermediate complexity model enables us to perform a suite of long-term simulations to full equilibrium (including the deep ocean), with relatively low computational burden, and to analyze the effects of varying levels of prescribed background mixing in order to ascertain the relative contribution of TCs to other mixing processes not resolved by the model.

[7] Because the adopted EMIC (similar to many other EMICs) does not yet contain a dynamic atmosphere, we do not capture the full extent of possible atmospheric feedbacks using this modeling approach. For example, TC-induced changes in tropical sea surface temperature patterns may have important implications for the large-scale mean atmospheric circulations such as the Hadley [*Sriver and Huber*, 2010] and Walker circulations. Furthermore, changes in regional and tropical surface temperature can influence basin-wide TC activity metrics, such as frequency, intensity, and spatial distribution [*Wang et al.*, 2008; *Zhao et al.*, 2009]. Since our TC mixing parameterization is prescribed, we cannot account for feedbacks that can potentially affect overall TC activity and more importantly the induced ocean

mixing. Here we focus primarily on modeling the impacts of realistic TC-induced mixing on the ocean. This approach is useful for testing the first-order response of the ocean to spatially varying vertical mixing from the present-day global TC climatology. We are presently working on incorporating a climate-sensitive component to our mixing parameterization, utilizing a more sophisticated fully coupled ocean/atmosphere general circulation model, in order to assess impacts on the coupled ocean-atmosphere system.

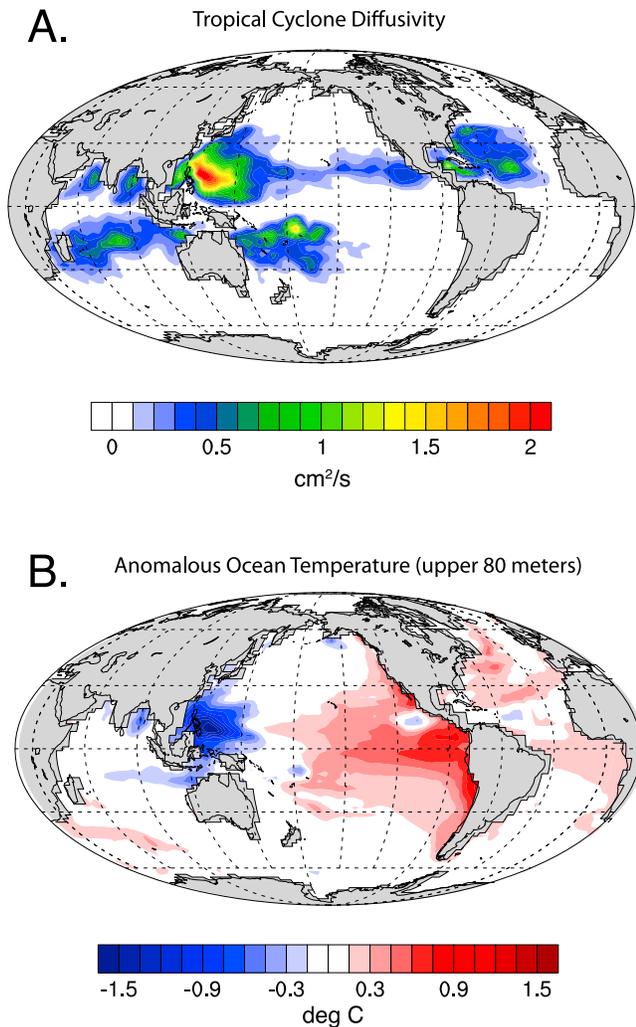
[8] The paper is organized as follows: section 3 describes the climate model and experimental design, section 4 contains the results and discussion of the model experiment (including effects on thermal structure, surface energy budget, ocean heat content and transport, and circulation dynamics), and section 5 provides a short description of our main conclusions and the implications.

## 2. Model

[9] We use the University of Victoria Earth System Model (UVic) [*Weaver et al.*, 2001] version 2.8, which features a three-dimensional ocean general circulation model based on the Modular Ocean Model (MOM) version 2 [*Pacanowski*, 1995]. The UVic model includes a simple energy-moisture balance atmosphere model with prescribed, diagnosed winds, as well as thermodynamic/dynamic sea ice and thermo-mechanical land ice components. This version of the model also includes terrestrial vegetation and carbon cycling [*Meissner et al.*, 2003] and ocean biogeochemistry based on the ecosystem model of *Schmittner et al.* [2005].

[10] The ocean model is coarsely resolved ( $1.8^\circ$  latitude  $\times$   $3.6^\circ$  longitude and 19 vertical levels) and features several key mixing parameterizations, including the Gent-McWilliams isopycnal mixing parameterization [*Gent and McWilliams*, 1990], diapycnal mixing over rough topography by tidal forcing [*Simmons et al.*, 2004], and increased vertical mixing rates ( $1 \text{ cm}^2/\text{s}$ ) below 500 m depth in the Southern Ocean (south of  $40^\circ\text{S}$ ) [*Schmittner et al.*, 2009]. In addition, the model uses a prescribed background vertical ocean diffusivity ( $K_v$ ) to simulate the effects of subgrid scale mixing processes not captured by the parameterizations listed above. Recent studies attempt to constrain the uncertainty of  $K_v$  used in the UVic model [e.g., *Schmittner et al.*, 2009], suggesting values between  $0.2$  and  $0.3 \text{ cm}^2/\text{s}$  yield best agreement with observed tracer fields. Here we perform an ensemble of simulations that span a range of  $K_v$  values from  $0.1$  to  $0.5 \text{ cm}^2/\text{s}$ , in order to examine the relative contribution of  $K_v$  in combination with the added prescribed vertical mixing by TCs.

[11] The TC ocean mixing parameterization is based on global mixing budgets developed from satellite-based measurements of anomalous surface temperature along storm tracks [*Sriver and Huber*, 2007a; *Sriver et al.*, 2008]. While TCs are transient events, we seek to simplify their modeled representation by characterizing the mixing as annualized diffusivities applied in combination with the  $K_v$  values. Figure 1a shows a map of the TC mixing rates at the surface. The pattern of mixing is spatially variable with the largest values typically occurring in the regions with the most TC activity. The mixing depths also vary, and we derive these values from the estimated changes in annual mixed layer



**Figure 1.** (a) Surface map of tropical cyclone diffusivity used as input for UVic climate model simulations. Diffusivities are derived from satellite-based climatology developed in the work of *Sriver et al.* [2008]. (b) Upper ocean temperature difference between the equilibrium tropical cyclone simulation and the corresponding control for a background diffusivity  $K_v = 0.2 \text{ cm}^2/\text{s}$ . Difference is averaged over the uppermost 80 m.

depth shown previously [*Sriver et al.*, 2008]. Because anomalous mixed layer depth represents only a portion of the total depth affected by TC-induced mixing, we apply an idealized correction by multiplying anomalous mixed layer depth values by  $3 \times$ . This simplistic approach yields mixing length scales on the order of  $\sim 100 \text{ m}$  for diffusivities equal to  $1 \text{ cm}^2/\text{s}$ . Maximum mixing depths penetrate to  $\sim 250 \text{ m}$  in areas with the largest TC diffusivities. These mixing rates and length scales are similar to recent estimates based on theoretical arguments [*Korty et al.*, 2008] and modeling results testing the sensitivity of upper ocean properties to TC wind forcing [*Sriver and Huber*, 2010]. The model is coarsely resolved in the vertical direction; therefore, we linearly interpolate diffusivity in the deepest grid boxes in order to reflect decreased mixing where mixing depths occur between model levels.

[12] We perform an ensemble of 10 model simulations that span a wide range of UVic  $K_v$  values (0.1, 0.2, 0.3, 0.4,  $0.5 \text{ cm}^2/\text{s}$ ). For each  $K_v$ , we perform two simulations corresponding to cases with TC-induced mixing and without. The model simulations are initiated from modern-day climatology and run for 3000 years to approximate equilibrium. In the final 1000 years of the simulation, the ensemble members are run in a carbon-coupled mode, which couples the atmospheric carbon to the land and ocean models. Atmospheric carbon dioxide levels are prescribed to pre-industrial levels throughout the simulations. We do not address transient climate change forced by increasing atmospheric greenhouse gases in this study. The only difference between each pair of runs for a given  $K_v$  value is the addition of the TC mixing parameterization that is applied to the oceanic vertical mixing budget. This methodology provides a simple and flexible global representation of realistic TC mixing rates suitable for diagnosing the first-order importance of these events within the current climate. The simulation results and analysis routines are available from the lead author upon request.

### 3. Results and Discussion

#### 3.1. Upper-Ocean Thermal Response

[13] The addition of TC-induced mixing in the model significantly alters the upper thermal structure of the global ocean (Figure 1b). The largest effect is seen in the Pacific basin. The northwestern Pacific region exhibits pronounced cooling in the areas experiencing the largest amount of TC activity, consistent with the cyclone-induced ocean heat pump mechanism [*Sriver and Huber*, 2007a]. The model exhibits warmer near-surface temperatures in the eastern equatorial Pacific region, which is mostly devoid of TCs, consistent with recent independent modeling studies [*Jansen and Ferrari*, 2009; *Fedorov et al.*, 2010; *Sriver and Huber*, 2010]. These upwelling regions are a key component of the subtropical overturning circulation. This shallow meridional overturning consists of poleward surface Ekman transport, sinking at the edge of the subtropical gyres, and equatorward flow of cooler water at depths of  $\sim 200 \text{ m}$  [*McCreary and Lu*, 1994; *Klinger and Marotzke*, 2000]. In the Pacific, the equatorward flow feeds into the Equatorial Undercurrent, where it is eventually upwelled in the eastern equatorial Pacific region. Thus, our results suggest a substantial portion of the heat pumped into the interior ocean in the Pacific basin is carried equatorward by the return branch of the subtropical cells, leading to anomalously warm upwelling regions in the equatorial cold tongue in the eastern Pacific. This feature suggests Pacific TC activity could provide a mechanism for sustaining a permanent El Niño [*Brierley et al.*, 2009; *Fedorov et al.*, 2010] and is consistent with independent model results testing the sensitivity of an ocean general circulation model to TC winds [*Sriver and Huber*, 2010].

[14] While we find some influence on near-surface temperature in other regions experiencing TCs such as the North Atlantic (Figure 1b), the magnitude of the anomalous temperature is substantially less than in the Pacific basin. This result indicates that, within the current global climatology, the effects of TC-induced mixing are largely confined to the dynamics of the Pacific Ocean.

### 3.2. Surface Energy Budget

[15] Although UVic does not contain a dynamic atmosphere component, the simplified energy-moisture balance atmosphere model allows us to diagnose the first-order response of the surface energy budget to changes in upper ocean temperatures forced by TC-induced mixing. The anomalous downward surface heat flux over the ocean between an equilibrated case with TC-induced mixing and the corresponding control is displayed in Figure 2a (for background diffusivity  $K_V = 0.2 \text{ cm}^2/\text{s}$ ). The addition of TC-induced mixing has a strong effect on the model's global surface heat budget over the ocean. Generally, TCs cause a net increase in downward surface heat flux in regions where they occur. The most prominent region of increased downward surface heat flux occurs in the northwestern Pacific (Figure 2a), corresponding to the region experiencing the largest amount of TC activity and coolest temperature response (Figure 1). Figure 2a shows decreased downward heat flux in the eastern equatorial Pacific, consistent with the anomalously warm near-surface temperatures in the eastern equatorial Pacific discussed previously (Figure 1b).

[16] Figure 2b represents the zonally integrated downward surface fluxes from Figure 2a. We find increased oceanic heat convergence at latitudes experiencing TCs, which is again consistent with the cyclone-induced heat pump hypothesis. The low latitudes experience decreased downward surface heat flux associated with warmer eastern equatorial temperatures in the Pacific Ocean. The ocean-to-atmosphere heat flux in the midlatitudes is increased (shown by negative downward flux in Figure 2b), representing warmer temperatures at those latitudes caused by increased poleward heat transport associated with TC-induced ocean mixing.

### 3.3. Ocean Heat Content and Transport

[17] Following *Emanuel's* [2001] hypothesis (discussed in section 1), ocean heat convergence by TC-induced mixing should be balanced by increased heat transport under equilibrium conditions. Originally, it was presumed this heat transport would be poleward, thus linking TCs to the wind-driven subtropical gyres and the global meridional overturning circulation, which carry heat and mass to high latitudes. While there is evidence of enhanced poleward heat transport, which increases the ocean-to-atmosphere heat flux in the midlatitudes (Figure 2b), a substantial portion of this heat remains in the tropics, thus lowering ocean heat uptake in the equatorial oceans. The reason for this modeled response is due to the current-day climatology of TC-induced mixing, which occurs primarily in the Pacific basin between  $10^\circ$  and  $30^\circ$  north. As described previously (section 3.1), the subtropical overturning dominates upper ocean transport in these regions. This circulation tends to trap TC-induced ocean heat convergence in the tropics, which warms the eastern boundary upwelling regions. The characteristics of this TC mixing parameterization (e.g., distribution, strength, and mixing depths) appear to limit the effectiveness of TCs as a possible tropical thermostat within the present-day TC climatology (e.g., distribution, strength, and depth of mixing).

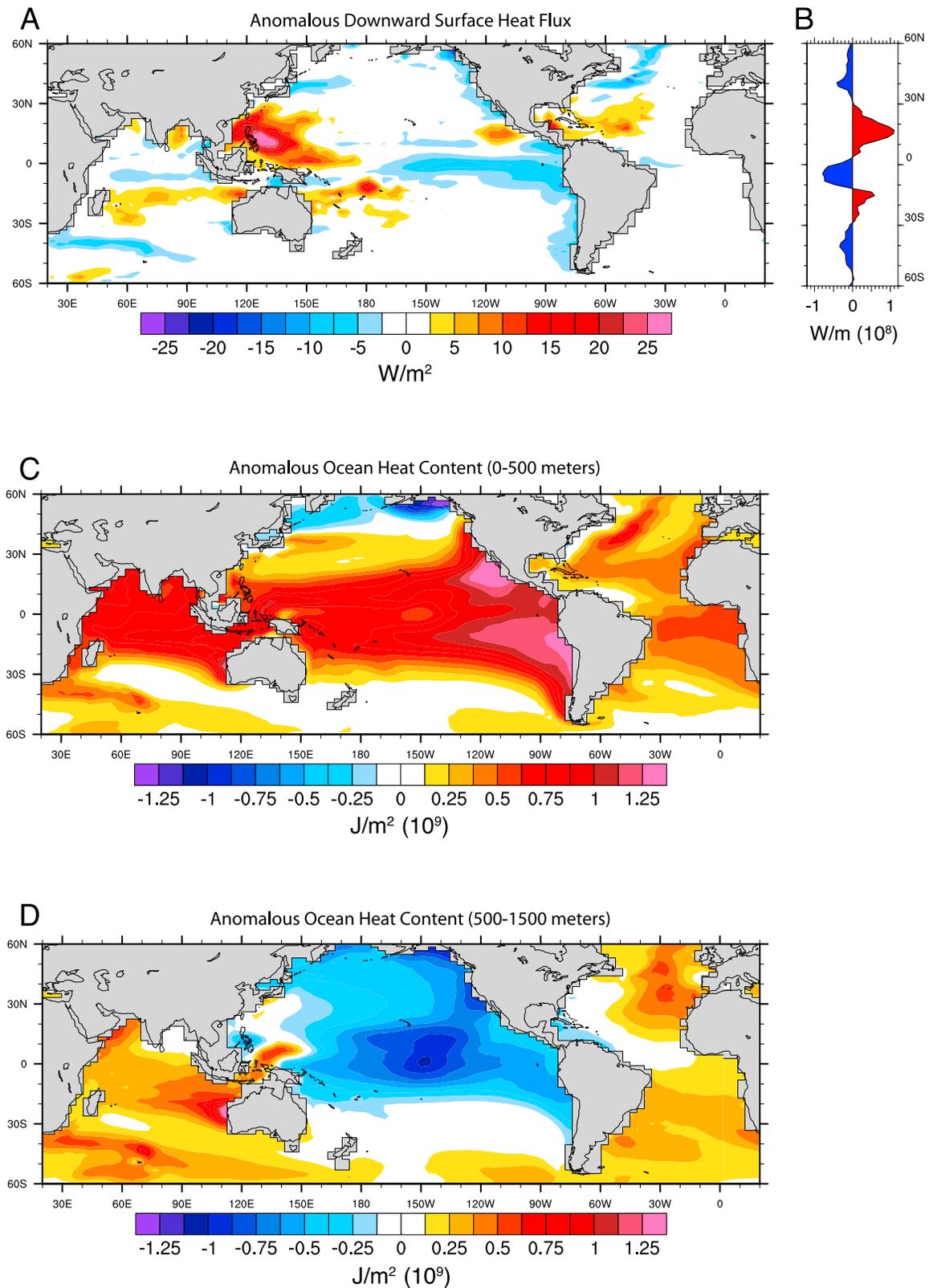
[18] We diagnose global impacts on heat distribution and transport by examining the influence of cyclone mixing on upper ocean heat content (Figures 2c and 2d) for the case with background mixing  $K_V = 0.2 \text{ cm}^2/\text{s}$ . We find a net increase

in heat content between 0 and 500 m depth for much of the tropics, with the largest warming occurring along the eastern Pacific boundaries. Conversely, the Pacific basin exhibits cooling at intermediate depths between 500 and 1500 m. Near the surface, the positive anomalous heat content in the Atlantic basin is less than for the other regions. However, the spatial distribution is more uniform in the Atlantic compared to the Pacific and Indian basins. Figure 2c depicts increased heat content along the western boundary in the North Atlantic downstream of the largest amount of TC-induced mixing in that region. This suggests the interaction of the subtropical gyre with the TC-induced mixing is responsible for redistributing some heat poleward in the Atlantic Ocean. In addition, we find the mean barotropic stream function to be sensitive to TC-induced mixing in both the Atlantic and Pacific basins, though there is only a slight positive influence on the strength of the global meridional overturning circulation.

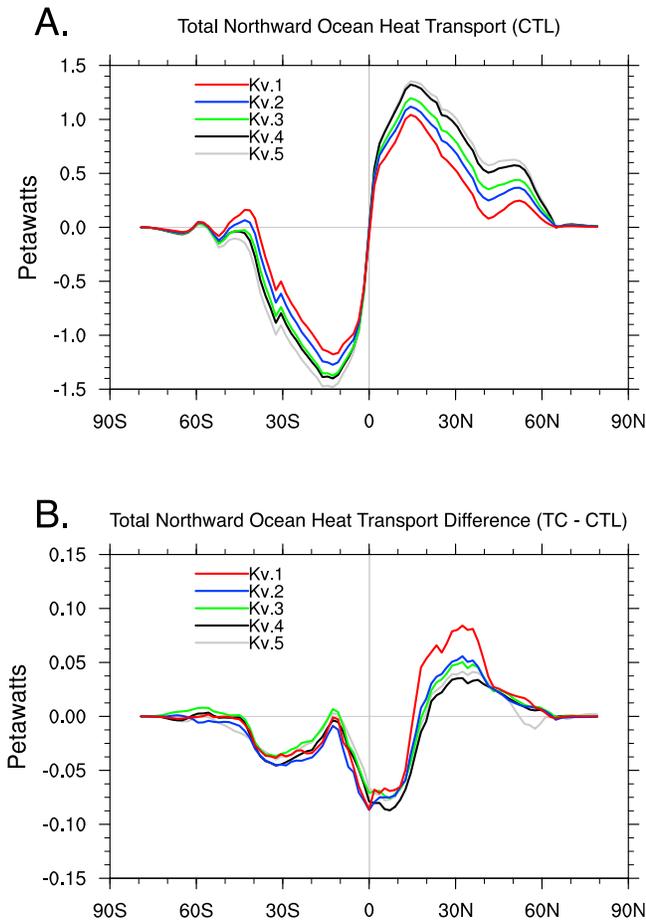
[19] The modeled total poleward ocean heat transport scales with  $K_V$  in the simulations without TC-induced mixing, but it is relatively insensitive to the TC mixing parameterization (Figure 3). TC-induced mixing tends to inhibit poleward heat transport out of the tropics in the Northern Hemisphere, consistent with previous model results [*Jansen and Ferrari, 2009*]. Subtropical poleward transport is increased in both hemispheres, which suggests at least some effect of TC-induced mixing on heat transport by the wind-driven subtropical gyres. However, the relative contribution is small compared to the peak poleward fluxes at these latitudes.

[20] As discussed previously, the heat content in the uppermost 500 m is increased in the Pacific basin for the simulations with TC-induced mixing, especially in the tropical and subtropical latitudes (Figure 2c). However, below 500 m, we find decreased ocean heat content in the Northern Hemisphere maximizing in the central equatorial Pacific (Figure 2d). This feature can be understood by analyzing two simulations with idealized scenarios of prescribed TC-induced mixing. In the first simulation, we applied a constant mixing of  $1 \text{ cm}^2/\text{s}$  across the entire tropics ( $30^\circ\text{S}$ – $30^\circ\text{N}$ ) to a depth of 200 m. In the second simulation, we applied the zonally averaged diffusivities (and mixing depths) from our observation-derived estimates (Figure 1a), reflecting a simple zonally invariant representation of TC-induced mixing that preserves meridional variability. Both idealized simulations were performed for a background diffusivity of  $K_V = 0.2 \text{ cm}^2/\text{s}$  and were carried out identically to the other ensemble members (see section 2 for details). These additional test cases allow us to diagnose the mixing characteristics responsible for the altered thermal structure (e.g., spatial variability in the zonal, meridional, and/or vertical directions).

[21] We find that both simulations with idealized mixing result in more uniform warming of the tropics at all levels in the model, as well as increased poleward ocean heat transport and meridional overturning strength. Thus, there is no cooling below 500 m in the equatorial Pacific. These simulations suggest that the decrease in equatorial Pacific temperature below 500 m (Figure 2d) in the model is caused by zonal variability in TC-induced mixing. In other words, zonal mixing gradients in the upper 200 m can potentially impact the thermal structure of the deep ocean. Changes in these gradients associated with variability in TC-induced mixing may affect heat uptake by the ocean, though time scales at which these effects become important are unclear. Addi-



**Figure 2.** (a) Difference in the total downward surface heat flux between the equilibrium tropical cyclone simulation and the corresponding control for background diffusivity  $K_v = 0.2 \text{ cm}^2/\text{s}$ . Units are  $\text{W/m}^2$ . (b) Zonal integration of the heat flux difference shown in Figure 2a. Units are  $\text{W/m}$  divided by  $10^8$ . (c) Difference in ocean heat content, integrated from the surface to 500 m depth, between the equilibrium tropical cyclone simulation and the corresponding control for background diffusivity  $K_v = 0.2 \text{ cm}^2/\text{s}$ . Units are  $\text{J/m}^2$  divided by  $10^9$ . (d) As in Figure 2c but integrated from 500 to 1500 m depth.



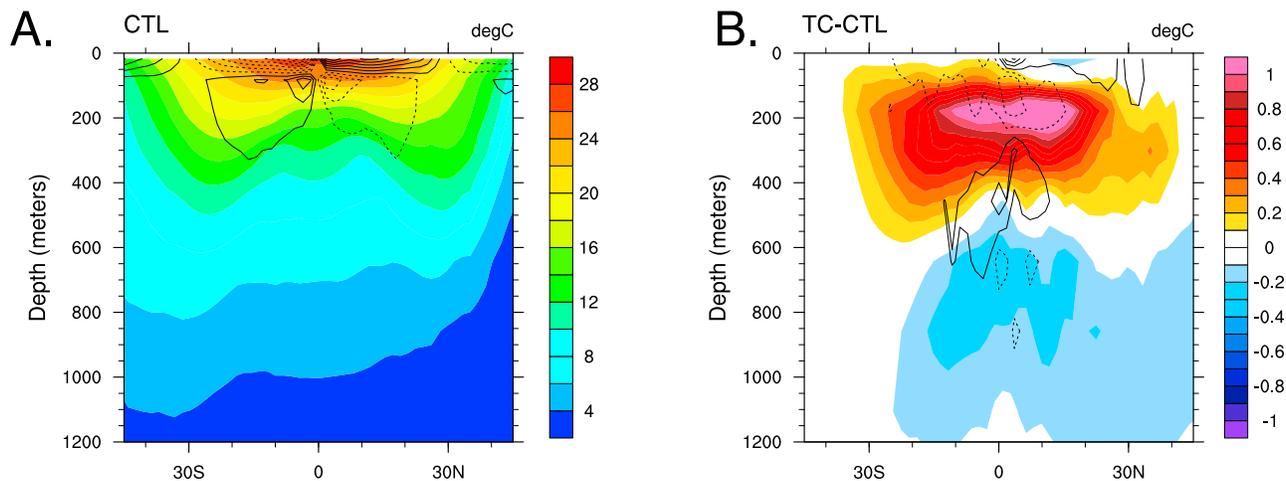
**Figure 3.** (a) Total northward ocean heat transport for control cases with varying background mixing ( $Kv$ ). Units are in petawatts (1 petawatt =  $10^{15}$  W). (b) Difference between cases with TC mixing parameterization and the corresponding control for each  $Kv$ .

tionally, these results suggest that simplified approaches used to simulate TC-induced mixing, such as broadly increasing vertical diffusivity equally across the entire tropics or certain latitude bands, may overestimate the influence of these events on the large-scale dynamics. More realistic representations that capture the meridional, zonal, and vertical variability of the mixing may be necessary to accurately simulate the climate response, particularly in scenarios where the characteristics of the mixing may change as a function of the climate state.

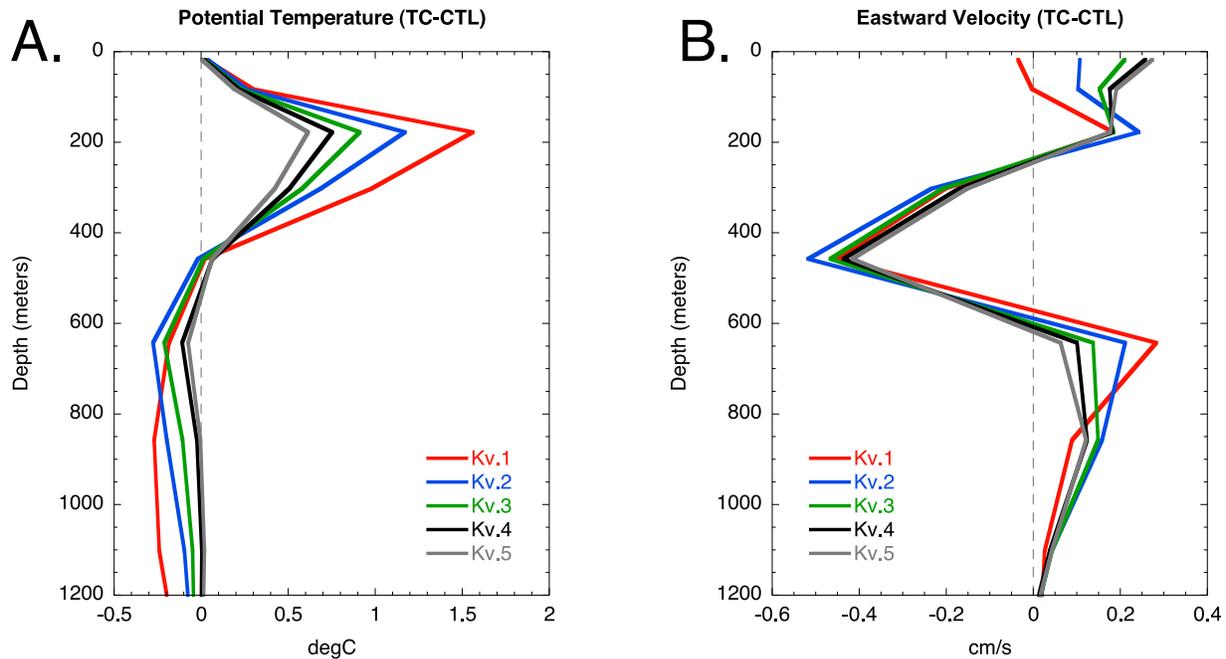
### 3.4. Dynamical Impacts

[22] To examine the influence of TC-induced mixing on the dynamics of the equatorial Pacific, we now focus on the upper ocean temperature and velocity for that basin. Figure 4a shows the zonally averaged temperature and meridional velocity for the control run with background diffusivity  $Kv = 0.2 \text{ cm}^2/\text{s}$ , and Figure 4b displays the difference between the corresponding run with TC-induced mixing and the control shown in Figure 4a. Figure 4b reflects the substantial increase in temperature within the uppermost 500 m in the tropics and the decreased temperature in the deeper ocean. The meridional structure of the subtropical overturning circulation is apparent in Figure 4a, with poleward transport in the uppermost 100 m in both hemispheres and the deeper equatorward flow from  $\sim 100$  to  $\sim 300$  m depth. We find a positive response in the strength of this overturning in the Northern Hemisphere Pacific, where the majority of TC-induced mixing occurs. There is little influence on the circulation strength in the Southern Hemisphere cell.

[23] Vertical profiles of the zonally averaged anomalous temperature and eastward velocity for all the TC runs are compared to the corresponding controls for the Pacific basin in Figure 5. The temperature response in Figure 5a is consistent with Figure 4b, reflecting the warm anomaly between the surface and  $\sim 400$  m depth. We find that the Equatorial Undercurrent is enhanced in the TC runs, along with intensified westward flow beneath 400 m depth (Figure 5b). It is



**Figure 4.** (a) Zonally averaged potential temperature (color contours) in the Pacific basin for the control case with background diffusivity  $Kv = 0.2 \text{ cm}^2/\text{s}$ . Black contours represent the zonally averaged meridional velocity for the same region (solid, northward; dashed, southward). Meridional velocity contour spacing is  $0.5 \text{ cm/s}$ . (b) As in Figure 4a but for the difference between the tropical cyclone case and the control. Meridional velocity contour spacing is  $0.05 \text{ cm/s}$ .



**Figure 5.** (a) Vertical profile of the zonally averaged equatorial potential temperature difference in the Pacific basin. Each curve represents the difference between the tropical cyclone case and the corresponding control for the full range of background diffusivities. (b) As in Figure 5a but for eastward velocity.

important to note peak values of the modeled Equatorial Undercurrent are considerably less than observations (15 cm/s compared to  $\sim 100$  cm/s), which is a typical limitation in coarse-resolution ocean general circulation models.

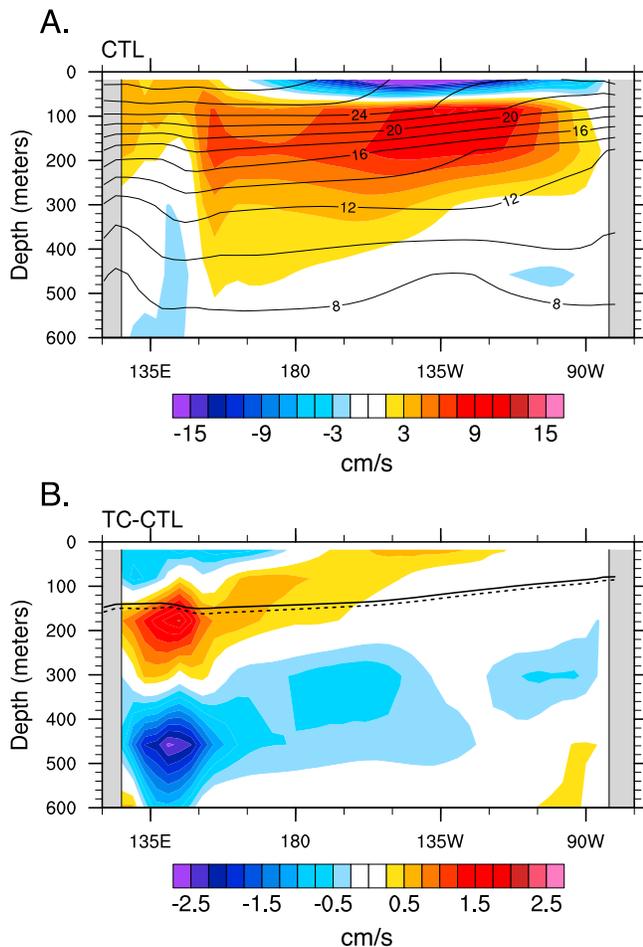
[24] The vertical profiles (Figure 5) show that the general response in modeled temperature and velocity are relatively insensitive to the choice of  $K_v$ , though the magnitude of the effect depends on the magnitude of  $K_v$ . The magnitudes of the temperature anomalies are inversely proportional to  $K_v$ , with an increased upper ocean warm anomaly for lower background mixing values. The response in the strength of the equatorial near-surface currents depends on the magnitude of the  $K_v$ ; however, the westward velocity anomaly below 200 m is less sensitive. Thus, the anomalous westward flow at depth is not a result of the overall mixing strength in the tropics, rather it is due to the spatial variability in TC mixing.

[25] We isolate the zonal structure of the equatorial velocity profile in Figure 5 for the case with background diffusivity  $K_v = 0.2$ . The control case (Figure 6a) shows a well-defined Equatorial Undercurrent with peak flow from the central to eastern Pacific regions. In Figure 6b, we see the influence of TC-induced mixing on the velocity structure. The model exhibits pronounced eastward flow out of the western Pacific at  $\sim 200$  m depth, which feeds warmer water into the Equatorial Undercurrent. As a result, the  $20^\circ\text{C}$  isotherm is deepened by  $\sim 10$  m in the TC cases. The intensified equatorial undercurrent is accompanied by deeper anomalously westward flow throughout much of the central Pacific that reaches a maximum in the western Pacific, which is caused primarily by the enhanced TC-induced mixing along the western boundary. This mixing may have impacts on the dynamics on the Indo-Pacific warm pool that could affect transport into the Indian Ocean via the Indonesian Throughflow [Wijffels *et al.*, 2008].

[26] In the model, the TC mixing parameterization increases heat transport into the Indian Ocean via the Indonesian Throughflow, while total volume transport through the Indonesian Throughflow is decreased. In the upper 200 m, volume transport is increased, which carries anomalously warm water from the western Pacific to the Indian Ocean. Below 200 m, the westward flow is decreased, and temperature gradients between the basins are small. The net effect is a small decrease in the column-integrated volume transport, along with increased heat transport, from the Pacific to Indian basins within the Indonesian Throughflow. Given the resemblance of the modeled thermal structure to El Niño-like conditions, decreased volume transport is consistent with recent observational results that indicate decreased transport during El Niño events [Tillinger and Gordon, 2009]. Thus, our findings suggest TC-induced mixing may influence the dynamics of the Indo-Pacific warm pool, contributing to redistributing heat and mass via the Indonesian Throughflow. However, it is not clear yet whether this result is realistic, given the simplified topography of the Indonesian Throughflow contained in the coarse resolution UVic model. Future experiments with higher-resolution ocean models should better elucidate the robustness of this mechanism.

#### 4. Conclusions

[27] We implement a realistic representation of present-day TC-induced ocean mixing in the UVic Earth System Model. We find the thermal structure of the modeled ocean to be sensitive to our parameterization of this process for all considered values of oceanic vertical background mixing. The thermal response results in altered near-surface temperatures and surface fluxes, along with a redistribution of ocean heat by the large-scale circulation. The main dynamical



**Figure 6.** (a) Equatorial transect of eastward velocity (color contours) in the Pacific basin for the control case with background diffusivity  $K\nu = 0.2 \text{ cm}^2/\text{s}$ . Black contours represent surfaces of constant temperature for the same region. Temperature contour spacing is  $2^\circ\text{C}$ . (b) As in Figure 6a but for the difference between the corresponding tropical cyclone case and the control (color contours). The black contours denote the  $20^\circ\text{C}$  isotherm for the tropical cyclone case (dashed contour) and the control (solid contour).

impacts are confined to the Pacific Ocean, where the mixing modifies the subtropical overturning circulation. This results in a decreased zonal temperature gradient with cooler temperatures in the western region and warmer temperatures in the eastern equatorial Pacific. This El Niño-like near-surface temperature pattern is maintained by intensified eastward flow of warm water out of the western equatorial-to-subtropical Pacific, ultimately feeding into the Equatorial Undercurrent and upwelling regions in the eastern Pacific.

[28] Our results suggest that TC-induced mixing based on present-day climatology can have dynamical implications for the global ocean, but the impacts are primarily limited in our model to the tropical (and subtropical) latitudes. We find little evidence that TC-induced mixing influences equator-to-pole ocean heat transport or the meridional overturning circulation within the context of the current climatology. However, our findings do support an active role of TCs

within climate that is capable of modifying the thermal and dynamical structure of the ocean, and the possibility of impacts on the meridional overturning in the context of climate change cannot be ruled out. Moreover, the spatial variability of this mixing appears to be a key factor in determining the extent of its impact. TC-induced mixing may have important implications for surface fluxes, tropical temperature distributions and circulation patterns in the tropical and subtropical oceans. A better understanding of how climate-induced changes in TC activity (and the associated changes in ocean mixing) could impact ocean properties and dynamics is needed, though it is unclear at what time scales these processes become important, an issue that will be addressed in future work.

[29] Our current results and interpretations are adorned by the caveats introduced by the lack of a dynamic atmosphere component in UVic. We are, for example, unable to address coupling feedbacks such as changes in trade wind forcing caused by large-scale surface temperature anomalies. Because this forcing is important for regulating the strength of the subtropical overturning, potential feedbacks are likely missing. However, the use of flexible intermediate complexity models such as UVic allows us to examine the first order climate response to TC-induced mixing using an ensemble approach, where we can rigorously test various TC mixing scenarios spanning the full parameter space of applicable background ocean mixing values. Future work is needed to address the possibility of climate feedbacks using a fully coupled ocean-atmosphere general circulation model.

[30] **Acknowledgments.** We thank Andreas Schmittner and Michael Eby for helpful discussion and supplying a portion of the modified model code applicable to this study. R. Srivier's research was supported by the NOAA Climate and Global Change Postdoctoral Fellowship Program, administered by the University Corporation for Atmospheric Research. This work was partially funded by the National Science Foundation. The authors acknowledge the use of the NCAR Command Language (NCL) in the data analysis and visualization. Any opinions, findings and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the funding entity.

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M. Goes and K. Keller, Department of Geosciences, Pennsylvania State University, University Park, PA 16802, USA.

M. E. Mann and R. L. Sriver, Department of Meteorology, Pennsylvania State University, University Park, PA 16802, USA. (rsriver@psu.edu)